



#3

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents and Trademarks, Washington D.C. 20231-9998, on:

Date: December 20, 2000By: *John A. Williams*
Signature of person depositing mailPATENT**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**Applicant(s): Brian D. LeskDocket No.: 33081.0500Serial No.: 09/648,575Filed: August 25, 2000TITLE: METHODS AND APPARATUS Group Art: 2161
FOR A PERSONAL FINANCIAL
SERVICE PROVIDER**TRANSMITTAL OF MISSING PARTS FOR
PATENT APPLICATION PURSUANT TO
37 C.F.R. §1.53(b)**

BOX MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on November 9, 2000 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

1. Small Entity Status

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

2. Fee Calculation

Declaration Surcharge (\$130)			=		\$130.00
Total Claims	1 minus	20 =	0 x	\$ 18.00 =	0.00
Independent Claims	1 minus	3 =	0 x	\$ 78.00 =	0.00
Multiple dependent claim fee, if applicable				\$260.00 +	N/A

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/> Missing parts filed within first month after due date - add	\$ 110.00 +	N/A
<input type="checkbox"/> Missing parts filed within second month after due date - add	\$ 380.00 +	N/A
<input type="checkbox"/> Missing parts filed within third month after due date - add	\$ 870.00 +	N/A
<input type="checkbox"/> Missing parts filed within fourth month after due date - add	\$1360.0 +	N/A
	0	
Subtotal		\$130.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - 65.00

	TOTAL FILING FEES	\$65.00
Add: Fee (\$40.00) for recording assignment and other fee(s):		\$40.00
	TOTAL FEES ENCLOSED	<u>\$105.00</u>

3. Enclosures (check as applicable)

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration
- ☐ Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.98 (with PTO Form 1449 and copies of citations)
- ☐ Other: Certified copy of priority document

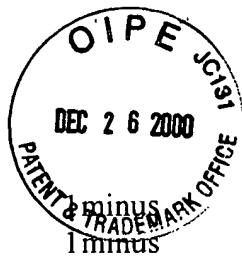
Extra copies of this letter:

- ☐ for charge to deposit account
- ☒ for assignment

4. Manner of Payment

- ☐ Please charge Deposit Account No. 19-2814 in the amount of \$_____. A duplicate copy of this sheet is attached.
- ☒ A check in the amount of \$105.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only)



2. Fee Calculation

Declaration Surcharge (\$130)		=		\$130.00
Total Claims	20 =	0 x	\$ 18.00 =	0.00
Independent Claims	3 =	0 x	\$ 78.00 =	0.00
Multiple dependent claim fee, if applicable			\$260.00 +	N/A

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/> Missing parts filed within first month after due date - add	\$ 110.00 +	N/A
<input type="checkbox"/> Missing parts filed within second month after due date - add	\$ 380.00 +	N/A
<input type="checkbox"/> Missing parts filed within third month after due date - add	\$ 870.00 +	N/A
<input type="checkbox"/> Missing parts filed within fourth month after due date - add	\$1360.0 +	N/A
	0	

Subtotal \$130.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - 65.00

TOTAL FILING FEES \$65.00

Add: Fee (\$40.00) for recording assignment and other fee(s): \$40.00

TOTAL FEES ENCLOSED \$105.00

3. Enclosures (check as applicable)

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration
- ☐ Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.98 (with PTO Form 1449 and copies of citations)
- ☐ Other: Certified copy of priority document

Extra copies of this letter:

- ☐ for charge to deposit account
- ☒ for assignment

4. Manner of Payment

☐ Please charge Deposit Account No. 19-2814 in the amount of \$_____. A duplicate copy of this sheet is attached.

☒ A check in the amount of \$105.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (deficiency only)


now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

5. Assignment

[X] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

Respectfully submitted,

Dated: December 20, 2000

By 
Daniel R. Pote
Reg. No. 43,011

SNELL & WILMER L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-2202
(602) 382-6325
(602) 382-6070 (fax)

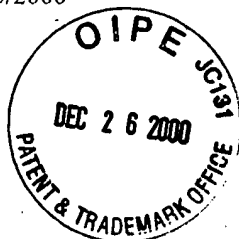
~~SECTOR~~

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,575	08/25/2000	Brian D. Lesk	33081.0500

Snell & Wilmer
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-0001



FORMALITIES LETTER



OC000000005542393

Date Mailed: 11/09/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

12/29/2000 ETULU1 00000060 09648575

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:205

65.00 OP